#### PAUL SCHERRER INSTITUT



#### Cyclotron Beam Extraction by Acceleration

C.Baumgarten

Outline

Cyclotron Beam Extraction

How high the voltage?

Example: COMET

Example: PSI Ring

Electrostatic Extractor vs. Acceleration

Dream Machines

### Cyclotron Beam Extraction by Acceleration

Christian Baumgarten

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6.12.2022 CYC2022 23rd Int. Conf. on Cyclotrons and their Applications

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- Ocyclotron Beam Extraction
- Smooth Acceleration Approximation
- Stimation of rf-Voltage for "escape extraction"
- Example 1: Compact Medical Cyclotron COMET
- Second Se
- A few notes on accuracy
- Consequences for PSI-type "Dream Machines"

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Summary



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- It follows that  $\frac{dp}{dR} = q B \left(1 + \frac{R}{B} \frac{dB}{dR}\right) = q B (1 + k).$
- Then: Why does the beam (usually) not leave the field when  $p > p_{max}$  (i.e.  $k \le -1$ )?
- The beam has to pass the fringe field.
- The fringe field is not isochronous.
- The phase shift is (usually)  $90^{\circ}$  before  $p > p_{max}$ .
- $\Rightarrow$  energy loss instead of gain.
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- $\Rightarrow$  The  $E \phi$ -loop closes.
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Dream Machines

#### • The fringe field is not isochronous.

We need to reduce the number of turns in the fringe field.
Option 1: Make the fringe field radially more compact. How much?



Figure: Taken from: H. Blosser "Modern Cyclotrons", USPAS Lecture 1971



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#### • Use simplified model: no sectors and smooth acceleration.

• A strictly isochronous field is  $B_{iso} = B_0 \gamma$  where  $v = \omega_o R$  is the velocity and  $\omega_o$  the circulation frequency.

• 
$$\gamma = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - R^2/a^2}}.$$

- $a = c/\omega_o$  is called "cyclotron radius".
- Model fringe field by simple Enge function[1, 2]  $f(R) = (1 + \exp((R - R_h)/g))^{-1}$

• g is (approx.) pole half-gap,  $R_h$  is radius for f = 1/2. • Model:  $B(R) = B_0 \gamma_R f(R)$  with  $\gamma_R = \frac{1}{\sqrt{1-R^2/a^2}}$ .



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  Model: B(R) = B<sub>0</sub> γ<sub>R</sub> f(R) with γ<sub>R</sub> = 1/(√(1-R<sup>2</sup>/a<sup>2</sup>)).



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- Model:  $B(R) = B_0 \gamma_R f(R)$  with  $\gamma_R = \frac{1}{\sqrt{1-R^2/a^2}}$ .
- I.e., for fringe field factor  $f \neq 1$  we have  $\gamma_R(R) \neq \gamma(\nu)$ .

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# Example similar to PSI Ring

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- The cyclotron radius *a* is determined by circulation frequency.
- The half-f(R) radius  $R_h$  is determined by the extraction energy.
- *Rightarrow* Field model has only one free parameter *g*:



• Parameter g is approximately equal to half of the pole-gap.



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#### • Compute derivative

$$\frac{df}{dR} = -\frac{1}{g}f\left(1 - f\right)$$

#### to obtain field index:

$$k = \frac{R}{B} \frac{dB}{dR} = \gamma_R^2 - 1 - (1 - f) \frac{R}{g}$$

• with revolution frequency  $\omega_{rev}$ :

$$\omega_{rev} = \frac{q}{m\gamma} B(R) = \frac{q}{m\gamma} B_0 \gamma_R f(R) = \frac{\gamma_R}{\gamma} \omega_0 f(R)$$

• where "nominal frequency"  $\omega_0 = \frac{q}{m} B_0$ .



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$$v = \omega_{rev} R = R \frac{\gamma_R}{\gamma} \omega_0 f(R)$$

to compute physical  $\gamma$ -factor:

$$\gamma = rac{1}{\sqrt{1-(rac{R}{R_{\infty}} rac{\gamma_R}{\gamma} f)^2}}$$

Solve for  $\gamma$ 

$$\gamma = \sqrt{1 + (\gamma_R^2 - 1) f^2}$$

... or for  $\gamma_R$ , respectively:

$$\gamma_R = \sqrt{1 + (\gamma^2 - 1)/f^2}$$



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#### $\phi$ is the phase when the bunch crosses the gap.

- Phase shift per radius increase:  $\frac{d\phi}{dR} = \frac{d\phi}{dn} \frac{dp}{dR} \frac{dE}{dp} / \frac{dE}{dn}$
- Phase shift per turn:  $\frac{d\phi}{dn} = 2 \pi N_h \left(\frac{B_{iso}}{B} 1\right) = 2 \pi N_h \left(\frac{\gamma}{\gamma_R f} 1\right)$ . where  $N_h$  is "harmonic number".
- $\bullet$  with energy gain per turn  $\frac{d\textit{E}}{dn}=q\;V_{\textit{rf}}\,\cos{\left(\phi\right)}$
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• Put together:  $\frac{d\phi}{dR} = \frac{2 \pi N_h m c^2}{q V_{rf} \cos(\phi)} \frac{R}{a^2} \left(1 - \frac{\gamma_R f}{\gamma}\right) \gamma_R f \left(1 + k\right)$ 

Or, respectively:  

$$d\sin\left(\phi\right) = \frac{2\pi N_{h} m c^{2}}{q V_{rf}} \frac{R}{a^{2}} \left(1 - \frac{\gamma_{R} f}{\gamma}\right) \gamma_{R} f\left(1 + k\right) dR$$



Cyclotron Beam Extraction by Acceleration

C.Baumgarten

Outline

Cyclotron Beam Extraction

#### How high the voltage?

Example: COMET

Example: PS Ring

Electrostatic Extractor vs. Acceleration

Dream Machines

 $\phi$  is the phase when the bunch crosses the gap.

• Phase shift per radius increase:  $\frac{d\phi}{dR} = \frac{d\phi}{dn} \frac{dp}{dR} \frac{dE}{dp} / \frac{dE}{dn}$ 

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- $\Rightarrow$  Change integration variable from R to f.
- Simplify factor by Taylor series in f (at f = 1):  $(1 - \frac{\gamma_R f}{\sqrt{1 + (\gamma_R^2 - 1) f^2}}) = \frac{1 - f}{\gamma_R^2} + \dots$
- This is legitimate since 1 f is (reasonably) small.
- From above we have  $1 + k = \gamma_R^2 (1 f) \frac{R}{g}$ .
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### Compute Phase Shift (3)

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### • Inserting all that, one obtains: $d\sin(\phi) = -\frac{2\pi N_h m c^2}{q V_{rf}} \frac{R}{a^2 \gamma_R} (g \gamma_R^2 - R + f R) df$

• f varies fast in the fringe field region.

• If g is small, then the fringe field is compact, i.e. R and  $\gamma_R$  vary only little over the fringe field region.

### Compute Phase Shift (3)



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• Integration yields from f = 1 to  $f = 1 - \gamma_R^2 \frac{g}{R_x}$  yields (in lowest order of g/R):

$$\sin(\phi_f) - \sin(\phi_i) = \frac{2\pi N_h m c^2}{q V_{rf}} \frac{R_x}{a^2 \gamma_x} \left(\frac{g^2 \gamma_x^4}{2 R_x}\right)$$

With 
$$\phi_i = 0$$
 (skipping the subscript "x") this gives  

$$\sin(\phi_f) = \frac{2 \pi N_h m c^2 \gamma^3}{2 q V_{rf}} \frac{g^2}{a^2} \le 1$$

minimal voltage is then (using 
$$\beta \approx R/a$$
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 $q V_{rf} \ge \pi N_h m c^2 \gamma (\gamma + 1) (\gamma - 1) \frac{g^2}{R^2}$ 



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• With 
$$E = m c^2 (\gamma - 1)$$
:  

$$\frac{q V_{rf}}{E} \ge \pi N_h \gamma (\gamma + 1) \frac{g^2}{R^2}$$

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### PSI Medical Cyclotron "COMET"

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$$rac{q \; V_{rf}}{E} \geq \pi \, N_h \gamma \left( \gamma + 1 
ight) rac{g^2}{R^2}$$

- $E = 250 \text{ MeV} \Rightarrow \gamma = 1.266.$
- Harmonic number  $N_h = 2$ .
- Half-Gap:  $g \approx 2.2 \,\mathrm{cm}$ .
- Extraction radius  $R = 0.81 \,\mathrm{m}$ .
- Minimal voltage for beam escape:  $q V_{rf} \ge 3.3 \,\mathrm{MeV}$ .
- Energy gain per turn is  $0.384 \,\mathrm{MeV}$ .
- For COMET, the voltage is either an order of magnitude too low or the gap is a factor of  $\approx$  3 too large for beam escape.
- With a half-gap of  $g \leq 7 \,\mathrm{mm}$ , beam "escape" might become possible.

(IBA self-extracting cyclotron has a half-gap of 7.5 mm [3].)

### PSI Ring: Escape Extraction

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Dream Machines

$$rac{q \, V_{
m rf}}{E} \geq \pi \, N_h \gamma \left( \gamma + 1 
ight) rac{g^2}{R^2}$$

- $E = 590 \text{ MeV} \Rightarrow \gamma = 1.63.$
- Harmonic number  $N_h = 6$ .
- Half-Gap:  $g \approx 2 \,\mathrm{cm}$ .

Main result:

- Extraction radius  $R = 4.5 \,\mathrm{m}$ .
- Minimal voltage for beam escape:  $q V_{rf} \ge 940 \text{ keV}$ .
- Today's energy gain per turn is  $2.6 \,\mathrm{MeV}$ .
- Beam escape condition is likely fullfilled in PSI Ring machine!
- Next: Verify by numerical tracking.

### PSI Ring: $E - \phi$ -Loop

#### Cyclotron Beam Extraction by Acceleration

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Outline

Cyclotron Bean Extraction

How high the voltage?

Example: COMET

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Electrostatic Extractor vs. Acceleration

Dream Machines



Opening the  $E - \phi$ -Loop: (Starting with  $\phi = 0$  before extraction E = 530 MeV)

Figure: Top: Energy vs. Turn Number. Bottom: RF-phase vs. energy. From blue to red: Increasing energy gain.

 $(590 \,\mathrm{MeV} - 530 \,\mathrm{MeV})/90 \ge 670 \,\mathrm{keV/turn}$  for escape extraction.



### Accuracy: How to determine g?

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Figure: Fit parameter g to  $B_{max}(R)$  (max. field at radius R) gives g = 15 mm and therefore  $q V_{rf} \ge 530 \text{ keV}$ . Fit parameter g to  $B_{av}(R)$  (average field at radius R) gives g = 36 mm and therefore  $q V_{rf} \ge 3 \text{ MeV}$ .

- Several approximations were used, mo important is  $g \ll R$ .
- Strictly speaking, g describes the field shape and is only "of the order" of half of the pole gap.
- Due to orbit scalloping, a fit to the average field overestimates g.
- A fit to the maximum field, on the other hand, can underestimate g.
- Is g really half of the air-gap D?
- Enge gives two examples [1, 2], where g ≈ D/2.388 and g ≈ D/2.341, depending on exact pole shape. We simply assumed g ≈ D/2.
- Accuracy of formula probably not better than 50 %, mostly due to uncertainties in value of effective half-gap size g.

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### Need for gradient correctors, calculated for PSI Ring cyclotron:



Figure: Some tracks with slightly different starting conditions in R,  $P_r$ , E and rf phase  $\tau$ . Left: Without gradient corrector. Right: With passive magnetic channels as gradient correctors.

## PSI Ring: Gradient Correctors (2

Cvclotron Beam



Figure: The last turns of the ring cyclotron with gradient corrector. Turn number (and energy) increases from blue to red. Top/middle: Field seen by the orbit. Bottom: Radius vs. Angle.

- Fast extraction: The field gradient is positve or zero up to the last sector.
- Gradient corrector is required, but only on one sector.
- Actual turn separation 18 mm [6], by acceleration with gradient corrector 28 mm.
- Note: Gradient corrector generates first harmonic (not compensated).



### Electrostatic Extractor vs. Acceleration (1)



- Dream Machines
- Electrostatic extractor induces change in radial momentum  $\Delta P_r$ .
- Fast strong acceleration induces  $\Delta R = \frac{dR}{dE} \Delta E$ .
- Betatron motion converges both kicks into a radius increase after  $\Delta \psi = \pi/4$  and  $\Delta \psi = \pi/2$ , respectively.



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- Electrostatic extractor (EE):  $\Delta P_r = \frac{q U_{def} L_{def}}{v_{\theta} d_{def}}$  so that:  $x' \approx \frac{\Delta P_r}{P_{\theta}} = \frac{L_{def}}{d_{def}} \frac{q U_{def}}{m c^2 \gamma \beta^2}.$ • PSI Ring:  $L_{def} \approx 60 \text{ cm}, d_{def} \approx 2 \text{ cm}, U_{def} = 150 \text{ kV}.$ •  $\Rightarrow \Delta x' \approx 4.5 \text{ MV} \frac{q}{m c^2 \gamma \beta^2}.$
- Acceleration  $\Delta R = \frac{dR}{dE} \Delta E \approx \frac{q V_{ff} \cos \phi R}{m c^2 \gamma \beta^2 (1+k)}.$ 
  - Acceleration:  $\phi \le 10^\circ$ ,  $V_{rf} \approx 3 \text{ MV}$ ,  $k \approx 0$ . •  $x' = \frac{2\Delta R}{\pi R/\nu_r}$

• 
$$\Rightarrow x' \approx 2 \text{ MV} \frac{q}{m c^2 \gamma \beta^2}$$

- Kick by acceleration reaches same order of magnitude as EE.
  - But in the PSI Ring, the Kick by EE still stronger than "kick by acceleration".
  - However, the higher the energy gain/turn, the less EE contribute to extraction.



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# "Dream machine": Multi-MW high power proton cyclotron for ADS, spallation sources and/or neutrino physics.

- Recall "Joho's  $N^3$ -Law" [4]: the possible beam current (at constant losses) scales with the  $1/N^3$  where N is the number of turns.
- This translates to:  $I_{max} \propto V_{rf}^3$ .
- Hence high intensity cyclotrons require an energy-gain/turn as high as possible.
- Two options: 1) Increase of cavity voltage or 2) increase number of cavities.



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The energy efficiency is [5, 6]:

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 $\eta_{acc} = \frac{P_{beam}}{N_{cav} V_{cav}^2 / (2R_{cav}) + P_{beam} / \eta_{rf} + P_{aux}}$ 

- ⇒ an increase of the number of cavities increases ohmic losses linearily. An increase of the cavity voltage increases ohmic losses quadratically.
- Hence a large number of cavities is prefered over a super-high cavity voltage. (Costs more money though...)
- Large number of cavities requires a large number of sectors.
- A large number of sectors requires a large radius (but not a large gap).
- $\Rightarrow$  the possibility for "escape-extraction" is included in such "dream machines" for "free".
- True "Dream Machines" need no (and should have no) electrostatic extractor.



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 $n_{\text{trans}} = \frac{P_{\text{beam}}}{P_{\text{beam}}}$ 

 $\eta_{acc} = \frac{1}{N_{cav} V_{cav}^2 / (2R_{cav}) + P_{beam} / \eta_{rf} + P_{aux}}$ 

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## Summary

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- We estimated the total shift of the beam phase in the course of the extraction process using a simple Enge-type fringe field model.
- "Self-Extraction" has been proposed before[3], but (to our best knowledge), the condition for "self-extraction" has never been derived before.
- However: "Self-Extraction" does not imply that there are no extraction elements needed in practice.
- The strong (negative) field gradient the beam passes during extraction requires compensation by "gradient correctors" and/or special field shaping[3].
- Furthermore the design requirements of high power separate sector cyclotrons facilitate beam escape by acceleration.
- Then it should be possible to avoid electrostatic extractors in "dream machines"..



Cvclotron Beam

Extraction by Acceleration

## References

## Thank You.

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Extraction Bean

How high the voltage?

Example: COMET

Example: PS Ring

Electrostatic Extractor vs. Acceleration

#### Dream Machines

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Cyclotron Beam Extraction by Acceleration

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Dream Machines

Figure: Simplified picture of betatron enhanced turn separation, assuming a constant radius gain.

However, if phase shift in the fringe field is negligible ( $|\phi| < 15^{\circ}$  or so), then the radius gain depends mostly on radial tune  $\nu_r$ :

$$\frac{dR}{dE} = \frac{R\gamma}{E(\gamma+1)} \frac{1}{\nu_r^2}$$

$$\langle \Box \rangle \langle B \rangle$$

# PSI Ring: Betatron enhanced Turn Separation (1)



Figure: Realistic picture of betatron enhanced turn separation with increasing radius gain.

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#### Cyclotron Beam Extraction by Acceleration

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#### Outline

Cyclotron Beam Extraction

How high the voltage?

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Example: PS Ring

Electrostatic Extractor vs. Acceleration

Dream Machines

If the phase shift during extraction is as low as in PSI Ring cyclotron, then the energy gain is approximately constant during extraction.

• Then the radius gain is proportional to  $1/\nu_r^2$ 

•  $\frac{dR}{dn}$  increases to infinity because  $\nu_r \to 0$ .

• Betatron enhancement does *not* require a specific  $\nu_r$ .

• Several possible schemes exist for betatron enhanced turn separation at extraction, specifically if  $\nu_r > 1.5$  prior to extraction.